

Express Mail EL598671 14805

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Applicant : R. F. Barnard, et al.  
Serial No. : n/a  
Filed : herewith  
Examiner : Suzanna Diaz  
Group : 3623  
Docket : END919990043US2  
Entitled : System and Method for Assessing a Procurement and  
Accounts Payable System

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR 1.56, 1.97, 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to examination pursuant to 37 CFR 1.56(b) because it was cited by an examiner in a related case, and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of publications, or other information listed. While the items submitted with this Information Disclosure Statement MAY be material to patentability pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) this submission shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56(b), exists. This art is being cited for the convenience and information of the USPTO and no representation is being made as to the pertinency or non-pertinency of the art.

Please charge IBM Corporation Deposit Account No. 09-0457 for any fees related to this communication. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

By

*Shelley M Beckstrand*  
Shelley M Beckstrand  
Registration No. 24,886  
Attorney for Applicants

Date: 28 Nov 2003

Address:

Shelley M Beckstrand, P.C.  
Attorney at Law  
314 Main Street  
Owego, NY 13827

Phone: (607) 687-9913  
Fax: (607) 687-7848

CERTIFICATE OF MAILING UNDER 37 CFR 1.8  
I hereby certify that I am depositing the enclosed or attached correspondence with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 3 Dec 2003  
JUDITH A. BECKSTRAND  
Name of person mailing paper  
Signature *Judith A Beckstrand* Date 3 Dec 2003

FORM PTO 1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  Page <u>  1  </u> of <u>  3  </u>	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; border-bottom: 1px solid black;">ATTY. DOCKET NO.</td> <td style="width: 33%; border-bottom: 1px solid black;">EN919990043US2</td> <td style="width: 33%; border-bottom: 1px solid black;">SERIAL NO. N/A</td> </tr> <tr> <td colspan="3" style="border-bottom: 1px solid black; padding-top: 10px;">           APPLICANT: R. F. BARNARD, ET AL         </td> </tr> <tr> <td style="border-bottom: 1px solid black; padding-top: 10px;">FILING DATE</td> <td style="border-bottom: 1px solid black; padding-top: 10px;">HEREWITH</td> <td style="border-bottom: 1px solid black; padding-top: 10px;">GROUP 3623</td> </tr> </table>	ATTY. DOCKET NO.	EN919990043US2	SERIAL NO. N/A	APPLICANT: R. F. BARNARD, ET AL			FILING DATE	HEREWITH	GROUP 3623
ATTY. DOCKET NO.	EN919990043US2	SERIAL NO. N/A								
APPLICANT: R. F. BARNARD, ET AL										
FILING DATE	HEREWITH	GROUP 3623								

**REFERENCE DESIGNATION                      U. S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	4,875,162	10/17/89	FERRITER, ET AL	364	401	10/28/87
	AB	5,793,632	8/11/98	FAD ET AL	364	464.1	3/26/96
	AC	5,287,267	2/15/94	JAYARAMAN, ET AL	364	403	5/10/91
	AD	5,233,513	8/3/93	DOYLE	354 (364?)	401	12/28/89
	AE	5,189,606	2/23/93	BURNS ET AL	364	401	5/14/91
	AF	5,224,034	6/29/93	KATZ ET AL	364	401	12/21/90
	AG	5,381,332	1/10/95	WOOD	364	401	12/9/91
	AH	5,283,745	2/1/94	TANAKA	364	468	12/5/90
	AI	5,450,317	9/12/95	LU ET AL	364	402	11/24/93
	AJ	5,848,394	12/8/98	D'ARRIGO ET AL	705	8	9/18/96
		5,315,509	5/24/94	NATARAJAN	364	401	7/30/93
		5,737,727	4/7/98	LEHMANN ET AL	705	7	1/25/96
		4,862,376	8/29/89	FERRITER ET AL	364	468	10/28/87

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	TRANSLATION
	AO	EP 0 895 171 A2	02-1999	Europe	McVicker	G06f 17/60	
	AP						

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

a:formal4.lwp (1)

ATTY. DOCKET NO. END919990043US2 SERIAL NO. N/A  
APPLICANT: R. F. BARNARD ET AL  
FILING DATE; HEREWITH GROUP 3623

**U. S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	6,318,610 B1	4/30/2002	GUNDEWAR	707	104	1/22/99
	AB	5,365,425	11/15/1994	TORMA ET AL	364	401	4/22/1993
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATI ON
	AP						
	AQ						
	AR						
	AS						
	AT						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (Modified)

LIST OF PATENTS AND  
PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Page 3 of 3

ATTY. DOCKET NO.	EN999043	SERIAL NO.	N/A
APPLICANT:		R. F. BARNARD, ET AL	
FILING DATE	herewith	GROUP	3623

REFERENCE DESIGNATION

OTHER ART

EXAMINER INITIALS		BIBLIOGRAPHIC DATA (Author, Title, Date, Pertinent Pages, etc.)
	AQ	Anonymous, RD 417032, "On-line IP portfolio management and advice...", Derwent Info Ltd., Dialog File 351:Derwent WPI data base printout, Abstract, 1/10/99.
	AR	Noori, Hamid and Russell Radford, <u>Production and Operations Management Total Quality and Responsiveness</u> , Chapter 12 "Project Management", New York, McGraw-Hill, Inc. 1995, 377-416.
	AS	"SAP Offers End-User Training Products and Consulting Services, Further Its TeamSAP Commitment to Customers." Business Wire, September 14, 1998.
	AT	Stevens, Tim. "Proof Positive." Industry Week, vol. 247, no. 15, pages 22-28, August 17, 1998.
	AU	"SAP Announces SAP FOCUS Initiative to Deliver First Solution For Complete Customer Relationship Life Cycle." Business Wire, September 14, 1998.
	AV	Norris, et al. "SAP An Executive's Comprehensive Guide." Chapter 20. New York, NY. John Wiley & Sons, 1998. 237-245.
	AW	
	AX	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

a:formal4.lwp(2)